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26 drawdown from the epikarst into the cave and a limited diurnal signal. Conversely, summer  
27 ventilation is dominated by air outflow from the cave, greater CO<sub>2</sub> drawdown and drip water  
28 degassing and a strong diurnal signal. Active monitoring during a large (M7.4) earthquake in  
29 November 2012 provides a unique opportunity to assess the response of cave atmosphere and  
30 hydrology to substantial seismic activity. Cave atmosphere and hydrology is found to be  
31 highly resilient to seismic activity, with no observable disturbance occurring around the  
32 earthquake, despite there being considerable evidence of physical disruption in the cave.  
33 Monitoring included different drip hydrologies, and the earthquake affected none of the  
34 monitored drip types. This suggests that stalagmite-derived paleoclimate records are not  
35 affected by seismic activity, except in extreme cases where the stalagmite or conjugate  
36 stalactite are damaged or reoriented.

37

## 38 **1. Introduction**

39 Characterising caves in terms of their unique ventilation processes is important as it has a  
40 first-order control on atmosphere composition, can potentially lead to seasonal bias in  
41 speleothem growth and consequently has significant implications when interpreting  
42 paleoclimate proxy signals from speleothems (Kowalczk and Froelich, 2010; Baldini, 2010;  
43 Sanchez-Canete et al., 2013). Real-time cave atmosphere data is also useful when  
44 characterising cave ecosystems (Oh and Kim, 2011; De Freitas et al., 1982) and assessing the  
45 suitability of caves for industry and tourism (De Freitas et al., 1982; Smithson, 1991; Dueñas  
46 et al., 1999; Dueñas et al., 2011; Virk et al., 1997). Estimation of cave ventilation is possible  
47 directly, via anemometers, indirectly via measurement of radon gas (<sup>222</sup>Rn) levels (Kowalczk  
48 and Froelich, 2010; Hakl et al., 1997; Faimon et al., 2006; Oh and Kim, 2011) and other  
49 tracer gases (De Freitas et al., 1982) or by studies of air density contrasts and thermal patterns

50 within the cave (Faimon et al., 2012; Smithson, 1991; Sanchez-Canete et al., 2013). The  
51 importance of understanding unique cave ventilation mechanisms have been well highlighted  
52 in recent studies (Kowalczk and Froelich, 2010; Cowan et al., 2013; Matthey et al., 2010;  
53 Baker et al., 2014) as the distinct nature of ventilation in individual caves can negate general  
54 assumptions regarding the seasonality of carbonate precipitation. For example, Matthey et al.  
55 (2010) identified unusual seasonal ventilation regimes in New St Michaels Cave, Gibraltar  
56 where the summer season was typified by low cave air pCO<sub>2</sub>. This proved important when  
57 linking seasonal regimes to calcite fabric, paired annual laminae, stable isotope and trace  
58 element variability and highlighted the importance of understanding unique cave  
59 environments. Studies like this become increasingly important as speleothem-based  
60 paleoclimate research continues to develop higher resolution records that are resolved to a  
61 seasonal or sub-seasonal level.

62 Caves in seismically active regions can display considerable evidence of past seismic  
63 activity, such as: broken speleothems, speleothem growth anomalies and deformation,  
64 displacement and rock fall events (Becker et al., 2006; Gilli, 1999; Gilli and Serface, 1999;  
65 Gilli and Delange, 2001). A limited number of studies have attempted to quantify the effect  
66 seismic activity may have on karst-cave atmosphere (Sebela et al., 2010; Virk et al., 1997),  
67 particularly with regards to CO<sub>2</sub> variability. Such information is pertinent when interpreting  
68 paleoclimate proxy evidence from speleothems in caves which may have been subject to  
69 substantial tectonic activity as seismic activity affects cave <sup>222</sup>Rn and CO<sub>2</sub> levels through pro-  
70 /co-seismic degassing and increased influx to the cave (Sebela et al., 2010; Wu et al., 2003;  
71 Virk et al., 1997; Menichetti, 2013). Crushing of material during seismic activity increases  
72 the rock permeability for <sup>222</sup>Rn gas and CO<sub>2</sub> leading to higher within cave concentrations.  
73 Cave air pCO<sub>2</sub> levels exert a strong control on carbonate precipitation rates (Baldini, 2010;  
74 Kowalczk et al., 2008; Palmer, 2007; Banner et al., 2007) and therefore substantial crustal

75 degassing has the potential to stagnate speleothem growth, particularly in deep, poorly  
76 ventilated passages. This can complicate paleoclimate proxy interpretations from speleothems  
77 for weeks to years depending on site-specific ventilation regimes. <sup>222</sup>Rn is a radioactive yet  
78 inert tracer gas frequently used to assess cave ventilation (Kowalczyk and Froelich, 2010; Oh  
79 and Kim, 2011) but can also pose a health risk in confined, poorly ventilated caves (Field,  
80 2007; Virk et al., 1997) and therefore its relation to seismic activity warrants assessment,  
81 particularly caves used for commercial or tourism purposes. It is also largely unknown how  
82 seismic activity may affect karst hydrology and stalagmite drip regimes. Changes in the  
83 hydrological regime feeding a stalagmite can affect speleothem growth rates and the  
84 transmission of geochemical signals from overlying climate to the speleothem carbonate;  
85 consequently, changes in hydrology can have important implications when interpreting  
86 paleoclimate proxy data in speleothems.

87 This study presents high-resolution cave monitoring data from Yok Balum Cave, Belize.  
88 These data provide detailed information regarding seasonal cave ventilation mechanisms by  
89 understanding cave pCO<sub>2</sub> and air density relationships and via examination of thermal  
90 gradients as evidence of internal-external air exchange. An understanding of the subtle  
91 seasonally variable fluxes of cave air CO<sub>2</sub> allows improved interpretations from not only Yok  
92 Balum, but other tropical sites as well. Additionally, active monitoring during a large (M7.4)  
93 earthquake in November 2012 provides a unique opportunity to assess the response of cave  
94 atmosphere and hydrology to substantial seismic activity.

95

## 96 **2. Study Site**

97 Yok Balum Cave (Mopan Maya for ‘Jaguar Paw Cave’) is located in the Toledo District of  
98 southern Belize, approximately 3km south of the modern Mopan Maya village of Santa Cruz

99 (16° 12' 30" N, 89° 4 24" W; 366 m above sea level) (Fig. 1a). The cave is developed within  
100 the tectonically uplifted Cretaceous Campur Limestone formation that originates from  
101 massive limestone deposition around the granite intrusions composing the Maya Mountains  
102 to the north (Miller, 1996; Kennett et al., 2012). The cave is one of several which occur in a  
103 SW-to-NE trending limestone karst ridge (Fig. 1b) whose formation is likely associated with  
104 the vertical flow of chemically aggressive allogenic water originating on the highlands of the  
105 Maya Mountains (Miller, 1996), although no stream exits within the cave today. Yok Balum  
106 extends as a main trunk passage approximately 540m from a small opening in the west (main  
107 entrance) to a larger, more elevated opening to the south (second entrance) (Fig. 1c). The  
108 second entrance resulted from cave collapse probably associated with tectonic activity. U-  
109 series dating of the base of a stalagmite growing on a breakdown block associated with the  
110 creation of the second entrance dates the collapse at a minimum of  $44,000 \pm 3300$  years BP.  
111 There is also considerable evidence of seismic activity within the cave including large faulted  
112 flowstones and displaced speleothems. U-series dating of carbonate precipitated within a  
113 faulted flowstone provided a date of  $26,400 \pm 170$  years BP.

114 The western coast of Central America displays relatively high seismic hazard potential due to  
115 the subduction of the Cocos Plate beneath the North American and Caribbean Plates (Fig.  
116 1a). A divergent boundary exists between the North American and Caribbean Plates  
117 approximately 100km south of the southern Belize border. The dominant source of seismic  
118 activity felt in southern Belize, however will result from intermediate-depth earthquakes  
119 occurring within the subducted Cocos Plate.

120

### 121 **3. Monitoring Instrumentation**

122

123 Tropical environments provide a challenging environment for electronic monitoring  
124 instrumentation, especially for long term monitoring studies in remote areas. In this study the  
125 threat of malfunction due to high humidity and water was minimised by keeping non-  
126 waterproof equipment in airtight boxes and sealed plastic bags with a silica desiccant where  
127 applicable. Above cave soil temperature was recorded hourly using a Tinytag temperature  
128 logger buried at a 0.4m depth. Cave air CO<sub>2</sub> was monitored every three hours between April  
129 2011 and January 2013 (with a four-month break from June 2012 to October 2012 due to  
130 equipment failure) using a Vaisala CARBOCAP Carbon Dioxide GMP343 Probe ( $\pm 3$  ppmv  
131 + 1% of reading) linked to a Vaisala MI70 Indicator and powered by two Duracell MN918  
132 Lantern batteries. A Radon Scout Plus, powered by two D-cell batteries and a four  $\times$  D-cell  
133 external battery pack was set up next to the within-cave CO<sub>2</sub> logger to detect radon  
134 fluctuations every three hours for the same time interval. The Radon Scout, being extremely  
135 sensitive to moisture, was kept in a watertight box. This resulted in a muted radon  
136 measurement as fewer  $\alpha$  particles reached the alpha counter. For qualitative assessment of  
137 <sup>222</sup>Rn fluctuations this was not considered an issue. However, <sup>222</sup>Rn values peaked during  
138 data download when the logger was removed from the box. To account for this, ten days of  
139 data were removed after data download to allow <sup>222</sup>Rn values to return to normal levels.  
140 Combined Barotroll pressure and temperature logger were installed both inside and above the  
141 length of the cave to measure hourly barometric pressure and temperature (precision  $\pm 0.1\%$   
142 and  $\pm 0.1^\circ\text{C}$ ). Tinytag temperature loggers were placed in transect along the cave to measure  
143 hourly temperature. Stalagmate automated drip loggers recorded hourly drip rates feeding  
144 three stalagmites of potential paleoclimate interest. Data were downloaded and the equipment  
145 maintained every four months. A summary of all monitoring equipment is shown in Table 1.  
146 The location of all equipment and monitored stalagmites is shown in Figure 1c.

147

#### 148 4. Cave Ventilation

149 Cave ventilation (air exchange with the outside atmosphere) has a first order control on cave  
150 atmosphere composition and is dependent on a number of factors, including fluctuations in  
151 temperature and pressure, cave geometry and susceptibility to external winds (Cowan et al.,  
152 2013; Bourges et al., 2001; Spötl et al., 2005; Baldini et al., 2006; Denis et al., 2005;  
153 Kowalczk and Froelich, 2010). Pflitsch and Piasecki (2003) classify cave passages in terms  
154 of air movement as being dynamic, transitional or static. However, the static state is very  
155 rarely observed, aside from in deep passages (Pflitsch and Piasecki, 2003; Przylibski and  
156 Ciekowski, 1999). Convective air circulation, driven by internal versus external air density  
157 differences, is a dominant ventilation mechanism in caves with more than one entrance at  
158 different elevations (Gregoric et al., 2013; Kowalczk and Froelich, 2010; Wigley, 1967;  
159 Badino, 2010). In tropical caves, where cave air temperatures do not vary significantly on  
160 seasonal timescales, air density difference will be predominantly controlled by surface  
161 temperature and barometric pressure variations (Fairchild et al., 2006). Air density responds  
162 primarily to temperature (Faimon et al., 2012; Gregoric et al., 2013; Gregoric et al., 2011)  
163 and to a lesser extent pressure and humidity as expressed in equation (1) below (after  
164 Kowalczk (2009)):

$$\rho_{air} = \frac{P}{R_d \cdot T_v}$$

165 (1)

166 Where  $R_d$  is the universal gas constant and  $P$  is barometric pressure.  $T_v$  is virtual temperature,  
167 calculated via equation (2), in which  $T_d$  is dew point.

$$T_v = (T + 273.15) / (1 - (0.379 \cdot \frac{6.11 \cdot 10^{\frac{7.5 \cdot T_d}{237.7 + T_d}}}{P})) \quad (2)$$

168

169 If cave pCO<sub>2</sub> is more than an order of magnitude greater than that of the free atmosphere, T<sub>v</sub>  
 170 is affected. This can lead to errors of up to 9°C when calculating cave T<sub>v</sub> (Sanchez-Canete et  
 171 al., 2013) and subsequent error in air density calculations. At Yok Balum maximum recorded  
 172 pCO<sub>2</sub> is 770ppm and the summertime mean is ~500ppm. This is less than an order of  
 173 magnitude greater than the free atmosphere and therefore this CO<sub>2</sub> exerts a negligible effect on  
 174 cave air density.

175 Typically, during the winter months, external air temperature will be cooler than that of the  
 176 cave and a positive air density difference will dominate i.e. internal air will be denser,  
 177 although a diurnal signal will also exist. Alternatively, during the summer, typically warmer  
 178 external temperatures will result in largely negative air density difference. Local weather may  
 179 result in short lived reversals in cave-atmosphere air density differences. The particular  
 180 ventilation influence of seasonal air density differences between cave and free atmosphere is  
 181 governed by the cave geometry (e.g passage orientation and size), the distance from cave  
 182 entrances and total cave volume (Batiot-Guilhe et al., 2007; Cowan et al., 2013).

183

184 **5. Results and Discussion of Ventilation**

185 The diurnal and seasonal patterns of airflow at Yok Balum are a direct response to a  
 186 thermally induced disequilibrium in air density between the cave and outside air, similar to  
 187 other caves (De Freitas et al., 1982; Kowalczk and Froelich, 2010). Within cave temperature  
 188 is nearly constant at 22.4°C (± 0.5°C) year round, although a low amplitude diurnal signal is

189 present. Within cave temperature is equivalent to the average yearly external temperature  
190 (Fig. 2) and is likely a result of moderation of outside temperatures by the epikarst. External  
191 air temperature can affect cave air  $p\text{CO}_2$  by both inducing density driven ventilation  
192 associated with inside-outside air density differences (De Freitas et al., 1982) (Fig. 3a ) and  
193 by promoting higher soil  $p\text{CO}_2$  stimulating biological activity in the soil zone (Baldini et al.,  
194 2008; Bond-Lamberty and Thomson, 2010; Hess and White, 1993; Murthy et al., 2003;  
195 Sherwin and Baldini, 2011).

196 The simple structure of Yok Balum Cave, with two entrances at either end of a single main  
197 trunk passage results in a well-ventilated dynamic cave system, evidenced by the low annual  
198 mean  $\text{CO}_2$  values (461ppm) (Fig. 3b). However,  $\text{CO}_2$  displays clear seasonal trends in both  
199 mean concentration and variability. Summer (April - October) is characterised by higher  
200 mean  $p\text{CO}_2$  (~500ppm) and high temporal variability (standard deviation of 72.5ppmv)  
201 whereas winter (November – March) has lower  $p\text{CO}_2$  (~420ppm) and displays lower  
202 temporal variability (standard deviation 24.3ppmv). Here, we use the theory of entropy of  
203 curves to highlight the differences between summer and winter ventilation. Entropy (E) is a  
204 measure of variance within a dataset. It is described as the mean cumulative sum of absolute  
205 first differences of a time or spatial derivative (Denis et al., 2005; Denis and Crémoux, 2002),  
206 or specifically, in this case, the average change in  $p\text{CO}_2$  values at 3-hourly intervals.  
207 Therefore, higher entropy values indicate a greater change in subsequent  $p\text{CO}_2$  measurements  
208 and therefore an indication of variance within subsets of the dataset.  $\text{CO}_2$  displays entropy of  
209 approximately 430 during the summer and 150 during the winter (Fig. 3b), indicating that the  
210 variance is nearly three times greater during the summer months. These trends in  $p\text{CO}_2$  mean  
211 values and variance are controlled by seasonal  $\text{CO}_2$  flux into the cave and ventilation, most  
212 likely controlled by external temperatures and infiltrating rainfall. The following sections will  
213 use high resolution monitoring data to describe the seasonal ventilation regimes occurring in

214 Yok Balum. It should be noted that the summer and winter seasons are not synonymous with  
215 the wet and dry seasons. Summer is considered to be months April – October and winter  
216 November – March. During the summer months external temperatures are greater than within  
217 cave temperature and vice versa for winter.

218

## 219 5.1 Summer regime

220

221 Air density differences between the cave and the free atmosphere, controlled predominantly  
222 by external temperature, drives the summer season diurnal ventilation regime. Outside air  
223 temperatures ( $T_{\text{atmos}}$ ) are higher on average than that inside the cave ( $T_{\text{cave}}$ ) producing an  
224 almost constant negative air density difference (Fig. 3b). In a typical one-entrance cave  
225 system this could cause severe season-long stagnation, and subsequently very high  $p\text{CO}_2$ , as  
226 the cooler denser cave air becomes trapped at the lowest point of elevation in the cave  
227 (Cowan et al., 2013; Spötl et al., 2005). At Yok Balum complete stagnation does not occur  
228 because the dual-entrance system provides a means of outflow for density driven flow from  
229 the more elevated southern (second) entrance to the lower western (main) entrance.

230 Outside air temperature begins to rise around 06:00 and reaches a maximum in the early  
231 afternoon. At this point cave-atmosphere air density difference is greatest and air outflow is  
232 at a maximum (Fig. 4a). As the air density difference increases during this period, outflow  
233 occurs at both entrances;  $\text{CO}_2$  concentrations will simultaneously increase as high  $p\text{CO}_2$  air is  
234 drawn out of the overlying epikarst and soil zones (Fig. 5a). During the day biological  
235 activity in the soil will also be at a diurnal maximum, producing higher soil  $p\text{CO}_2$ . By late  
236 afternoon the cave-atmosphere air density difference begins to decrease and the volume of  
237 outflowing air decreases, reducing  $\text{CO}_2$  drawdown from the epikarst. Outflow at the lower,

238 main entrance weakens or ceases completely. As the cave-atmosphere air density difference  
239 reaches a minimum, around 01:00, cave air pCO<sub>2</sub> reaches minimal values. This is most likely  
240 due to minimised CO<sub>2</sub> drawdown and inflow of low pCO<sub>2</sub> atmospheric air from the second  
241 entrance (if T<sub>cave</sub> reaches or surpasses T<sub>atmos</sub>) flushing through the cave from the second  
242 entrance to the lower main entrance (Fig. 5b). If the outside air density remains considerably  
243 higher than that of the cave then pCO<sub>2</sub> may remain elevated, but will decrease somewhat due  
244 to decreased CO<sub>2</sub> drawdown from the epikarst, lower soil activity and some air movement  
245 driven by the venturi effect (Fig. 5b). Areas closest to the entrances can be expected to  
246 undergo the most ventilation, particularly at the second entrance, which is larger. Increased  
247 water through flow during the wet season is undoubtedly an additional driver of higher  
248 average summer pCO<sub>2</sub> as it increases dissolved CO<sub>2</sub> transport to the cave; increasing  
249 degassing and consequently producing higher cave pCO<sub>2</sub>.

250

## 251 5.2 Winter regime

252

253 During the winter season outside air temperatures are generally cooler than those inside the  
254 cave, producing a positive air density difference and a ventilation regime dominated by  
255 inflow. Ventilation is therefore more continuous than during the summer.

256 Maximum air density difference occurs at night (around 03:00) when T<sub>atmos</sub> is at a minimum  
257 (Fig. 4b). Cooler outside air flushes into the cave, predominantly through the more elevated  
258 second entrance but also at the main entrance. Cave air pCO<sub>2</sub> will therefore approximate that  
259 of the external atmosphere. Outside air temperatures begin to rise at ~06:00 and reach a  
260 maximum at ~14:00, as in the summer season. However, as the outside temperature increases  
261 it approaches that of the cave air, reducing the air density difference to near zero (or to

262 negative values if  $T_{\text{cave}}$  surpasses  $T_{\text{atmos}}$ ). This reduces air inflow to the cave and if a negative  
263 air density difference occurs then outflow may occur during this time (Fig. 5c). This variation  
264 of air density difference over a threshold value results in a daily ventilation regime whereby  
265 the cave inhales during the day and exhales at night. The inhalation during the day draws low  
266  $p\text{CO}_2$  air into the cave, flushing the cave and keeping  $p\text{CO}_2$  values similar to atmospheric  
267 levels (Fig. 5d). Any weak exhalation at night continues effective air turnover and maintains  
268 low  $p\text{CO}_2$  concentrations. Again, it is the areas close to the entrances that will undergo the  
269 most rigorous air turnover.

270 A combination of inflow dominated ventilation and less  $\text{CO}_2$  from drip water degassing keeps  
271 winter cave air  $p\text{CO}_2$  at near atmospheric levels. A less distinct diurnal regime is observed in  
272  $\text{CO}_2$  and air density difference variability. During the summer, increased water through flow,  
273 strong air outflow and large  $\text{CO}_2$  drawdown, increase average  $p\text{CO}_2$  and daily variability.

274

### 275 5.3 Temperature observations

276

277 Hourly temperature data is used as an indicator of air movement in order to determine  
278 seasonal modes of ventilation and to understand exactly how air moves through the cave. We  
279 use Tinytag (TT) temperature loggers at different sites to assess thermal variability. TT3, a  
280 temperature logger located ~50m from the second entrance and shows more variance than  
281 TTI, located ~50m from the main entrance and TT2, located in the midsection of the cave  
282 ~100m from the main entrance and ~140m from the second entrance (Fig. 6e). TT2 displays  
283 the least variance and most moderated temperature (Fig. 6b). Entropy (see section 5) can be  
284 illustrated graphically through time as a cumulative curve, the slope of which indicates  
285 variability within the dataset. Entropy curves are calculated for each temperature dataset from

286 the three loggers (Fig. 6a), thus facilitating comparison of their variance with time. TT3  
287 displays the greatest variability over the whole time series, suggesting that this region of the  
288 cave is most strongly coupled with external air temperatures via air exchange. During the  
289 summer months TT3 increases by 0.4°C, as air in this region responds to warmer external  
290 temperatures. TT2 is stable through the same period and TT1 displays an increase similar to  
291 that of TT3, but of only 0.3°C. This thermal variability decreasing with distance from a cave  
292 entrance is in accordance with a traditional cave temperature models (Wigley, 1967) and  
293 previous thermal profile studies of caves (Sanchez-Canete et al., 2013; De Freitas et al.,  
294 1982).

295 During the winter, TT3 displays greater variance than the other two loggers, again indicating  
296 that this section of the cave is more closely coupled to the outside air during winter than  
297 summer (Fig. 6). This is consistent with the ventilation mechanism described in the previous  
298 section where inflow of cooler atmospheric air dominates the winter ventilation regime,  
299 simultaneously lowering long term cave air temperature in this area of the cave and  
300 mimicking the diurnal external temperature cycle in the cave. TT2 remains the least variable,  
301 due to its location in the midsection of the cave. TT1 decreases, indicating that cooler  
302 atmospheric air flows in, but that ventilation at the main entrance is less rigorous than at the  
303 second entrance. Furthermore, short-lived decreases in temperature recorded by TT1 (and to a  
304 lesser extent in TT2) are in anti-phase with TT3. This could be an indication of air entering at  
305 the main entrance and flushing through the cave, forcing warmer air from the less dynamic  
306 mid-section of the cave through to the second entrance, where it is recorded as a small  
307 increase in temperature at TT3. This thermal pulse process would also operate in reverse,  
308 with cooler air entering at the second entrance and forcing air through the cave to the main  
309 entrance.

310 Data collected through high-resolution temperature experiments, conducted over two 14-hour  
311 intervals in June and late October 2012, is used to characterise ventilation on short time  
312 scales. A transect of three temperature loggers placed in the cave recorded temperature every  
313 10 seconds to capture very short-term thermal fluctuations overnight, from 18:00 to 08:00.  
314 Failure of one of the loggers during the June experiment limits the number of loggers to two,  
315 but does not affect data interpretation for this project. During the June experiment (Fig 7) the  
316 two temperature loggers, TT5 and TT7, record essentially static temperature, supporting the  
317 idea that air density driven outflow dominates during this season. During the logging interval,  
318 the cave – atmosphere air density difference does not drop below zero and so inflow does not  
319 occur. During the late October experiment thermal variance at all three sites is much greater  
320 (Fig. 8). TT5 and TT8 record more thermal variability than TT7 suggesting that air inflow  
321 close to the main entrance is less persistent. TT5 and TT7 both record cooler temperatures  
322 than TT8, presumably due to their proximity to a cave entrance. TT8 and TT5 track each  
323 other, roughly in accordance with cave – atmosphere air density difference and are weakly in  
324 anti-phase with TT7. This is similar to what we see in the longer-term record (Fig. 6) where  
325 cooler external air enters the main entrance and forces air along the main passage, which is  
326 recorded as a pulse of warmer deep cave air at the second entrance. It would appear from this  
327 high resolution time series that this occurs in both directions. The limited temporal timeframe  
328 of these two experiments hinders making firm conclusions about the diurnal movement of air  
329 at Yok Balum Cave; although it is encouraging that the results acquired are in agreement with  
330 the longer, hourly-resolution time series.

331

## 332 **6. November 7<sup>th</sup> earthquake**

333 On November 7<sup>th</sup> 2012 at 16:35 (UTC) (10:35 local time) a 7.4 magnitude earthquake struck  
334 off the coast of Guatemala (Fig. 1a). The epicentre was estimated to be at a depth of 24.0km  
335 and occurred as a result of thrust faulting on or near the subduction zone of the Cocos plate  
336 and the overlying Caribbean and North American plates (United States Geological Survey).  
337 Tremors were felt in parts of Belize and villagers from Santa Cruz village, 5km from Yok  
338 Balum Cave, reported feeling the tremors. According to United States Geological Survey  
339 estimates this shock would result in a seismic hazard, measured in peak ground acceleration  
340 at the cave site of 1.6 – 2.4 m/sec<sup>2</sup>. A field crew returned to the cave in January 2013 to find  
341 large fallen blocks at the cave main entrance and numerous displaced and freshly broken  
342 stalagmites and stalactites within the cave. Reasonable evidence therefore suggests that the  
343 cave was subject to seismic activity on or around November 7<sup>th</sup> 2012. There are only a  
344 handful of published studies reporting earthquake damage to caves (Gilli, 1999; Gilli and  
345 Delange, 2001; Renault, 1970) and so direct monitoring observations of the effect of seismic  
346 activity are pertinent to the science of speleology in general and have implications for  
347 reconstructing climate from cave deposits. It will be particularly useful to determine how  
348 environmental variables that affect speleothem growth and carbonate deposition, such as drip  
349 hydrology and cave ventilation, may be affected by seismic activity. For example, if seismic  
350 activity causes considerable water re-routing, we might expect subsequent changes in  
351 speleothem growth for days to months, which can confuse a climate record. Similarly,  
352 atypical speleothem growth and/or carbonate isotopes could be produced from significant  
353 seismically induced changes in cave pCO<sub>2</sub>.

354

355           6.1    Cave atmosphere response

356 No clear change occurred in cave air pCO<sub>2</sub> or <sup>222</sup>Rn during or for the week following the  
357 earthquake (Fig. 9). Two <sup>222</sup>Rn peaks occur around the earthquake (Fig. 9a) and although  
358 fracture distillation induced by seismic activity may cause such peaks in cave atmosphere  
359 <sup>222</sup>Rn, these peaks are not significant, in terms of magnitude or duration, when the entire  
360 <sup>222</sup>Rn dataset is considered (Fig. 3c). A sharp increase in both <sup>222</sup>Rn and CO<sub>2</sub> occurs on the  
361 20<sup>th</sup> of December (Fig. 3 b and c) but given the short half-life of radon (3.8 days) it is  
362 extremely unlikely that this is a delayed signal of the November 7<sup>th</sup> event. This increase could  
363 be explained instead by a coincident decrease in air density difference, associated with a  
364 moderate rainfall event, temporarily reducing air inflow to the cave and resulting in a short-  
365 lived increase in CO<sub>2</sub> and <sup>222</sup>Rn.

366 A significant change in cave atmosphere may not be observed due to the seasonal timing of  
367 the earthquake. As previously observed, cave ventilation during the winter is dominated by  
368 inflow which acts to keep pCO<sub>2</sub> levels low. Seismic activity of a similar magnitude occurring  
369 during the summer season when outflow is dominant, may lead to a discernible increase in  
370 cave air <sup>222</sup>Rn and CO<sub>2</sub>. Potentially a clearer influence could be observed in less well-  
371 ventilated cave. Prior to the collapse and opening of the second entrance at Yok Balum,  
372 ventilation would have been less effective and cave air pCO<sub>2</sub> and <sup>222</sup>Rn higher. Considerable  
373 seismic activity at this time may have created uncharacteristically high pCO<sub>2</sub> and <sup>222</sup>Rn values  
374 as a result of limited ventilation and should be a consideration when studying speleothems  
375 from Yok Balum deposited prior to the collapse of the second entrance, when ventilation  
376 would have been restricted. Similarly, in caves where ventilation is less efficient it may be  
377 pertinent to assess the impact of seismic activity on cave atmospheric composition;  
378 particularly when speleothem from the cave are being considered for palaeoclimate  
379 reconstruction.

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381           6.2    Hydrological response

382    Three drip loggers were deployed during the November 2012 earthquake: ‘YOK-SK’, ‘YOK-  
383    SD’ and ‘YOK-LD’. Of these drips, two (YOK-LD and YOK-SD) were ‘static’ in nature  
384    (Smart and Friederich, 1987; Baker et al., 1997), because they displayed low drip rates and  
385    low variability (Fig. 10a), indicative of a diffuse flow dominated hydrology. YOK-SK is  
386    classified as a ‘seasonal’ drip (Baker et al., 1997) because it responds to local rainfall events  
387    and seasonal climate variability (Fig. 10a), suggesting that a fracture flow pathway is  
388    activated once a threshold rainfall rate or epikarst saturation is achieved. YOK-SK responds  
389    to local rainfall with a lag time of < 6 days, but displays greater variability during the wet  
390    season when the epikarst and soil are closer to saturation.

391    None of these three loggers recorded any clear drip response to the seismic activity on  
392    November 7th (Fig. 10b). YOK-LD and YOK-SD, the two static drips, show no response,  
393    suggesting that diffuse flow regimes are not affect by seismic activity of substantial  
394    magnitude. Similarly, YOK-SK, which at the time of the earthquake was displaying a peak in  
395    drip rate in response to rainfall events in the preceding days, shows no response outside what  
396    would be expected from the longer time series. These data suggest that preferential flow  
397    routes are not necessarily altered by seismic activity of this nature. This observation is of  
398    significant value for speleothem based paleoclimate studies as it suggests that seismic activity  
399    does not affect hydrological flow pathways and hence alter carbonate geochemistry.

400

401           **7. Conclusions**

402    Yok Balum is an extremely well ventilated cave system that displays distinct seasonal  
403    ventilation regimes, consistent with changes in air density differences between the cave and

404 outside atmosphere. The winter regime is dominated by air inflow, low pCO<sub>2</sub> and lower  
405 epikarstic drawdown and CO<sub>2</sub> flux into the cave. Conversely, air outflow, high epikarstic  
406 CO<sub>2</sub> drawdown, increased drip water degassing and a strong diurnal signal dominates the  
407 summer regime. Based on air temperature changes the degree of air exchange increases from  
408 the centre of the cave to the entrances and the second entrance experiences greater air  
409 exchange than the main entrance, presumably due to its size. By looking at thermal  
410 fluctuations of cave air on a ten-second timescale, direction of air movement is identified  
411 during summer and winter nights respectively and both entrances are found to display active  
412 dual-directional connections to the free atmosphere. The three datasets presented here: long  
413 term three-hourly CO<sub>2</sub>, hourly temperature and the two high resolution studies all help to  
414 build a comprehensive understanding of ventilation at Yok Balum Cave. This will be  
415 pertinent as on-going paleoclimate research at this cave. Continued monitoring will help to  
416 discern inter-annual fluctuations and identify long term links between cave pCO<sub>2</sub> and local  
417 climate.

418 Cave pCO<sub>2</sub> and <sup>222</sup>Rn did not show any discernable response to the November 7<sup>th</sup> earthquake.  
419 Likewise, none of the three drips displayed any discernible hydrological response to the  
420 earthquake, suggesting that seismic activity, even of considerable magnitude, has minimal  
421 hydrological repercussions at Yok Balum and is insufficient to result in perturbations in  
422 speleothem petrographical or geochemical records. It is noteworthy that the three loggers  
423 represent two end members of 'standard' drip types, from highly diffuse, slow and static drip  
424 rates (YOK-LD and YOK-SD), to highly variable and relatively fast drip rates (YOK-SK).  
425 This suggests that intermediate drip types would probably be similarly unaffected by seismic  
426 activity of a similar magnitude. The primary effect seismic activity may have on a  
427 speleothem record is by altering the growth axis position or orientation, rather than a direct

428 disruption of the overlying hydrology. This could appear as a hiatus or sudden shift in isotope  
429 values if the growth axis movement was not accounted for during milling.

430 This study provides real time data on the effect of seismic activity on cave hydrology and  
431 atmosphere. In seismically active regions, determining this site-specific response is a  
432 desirable outcome of cave monitoring studies designed to aid speleothem paleoclimate proxy  
433 interpretation. These data provide encouraging evidence that seismic activity of this level  
434 does not have implications for speleothem paleoclimate proxy interpretations from caves with  
435 similar ventilation dynamics as Yok Balum.

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591 **Table captions**

592

593 **Table 1.** Summary of equipment used in this study, including equipment accuracy, sampling  
594 interval and additional comments.

595

596 **Figure Captions**

597

598 **Figure 1.a)** Tectonic setting of Belize region, including tectonics boundaries (adapted from  
599 the United States Geological Survey). Estimated epicentre (yellow dot) and epicentral region  
600 (blue rectangle) of 7th November 2012 earthquake. Red box identifies Belize, Yok Balum  
601 Cave (red dot) and the area covered in **b)** a geological schematic of Belize (adapted from  
602 Miller 1996) and the location of Yok Balum Cave (red dot). **c)** Map of Yok Balum Cave with  
603 equipment locations and drip sites monitored in this study.

604

605 **Figure 2.** 26.5-month time series of hourly Cave temperature ( $T_{\text{cave}}$ ), soil temperature ( $T_{\text{soil}}$ )  
606 and outside air temperature ( $T_{\text{atmos}}$ ).

607

608 **Figure 3.** Seasonal regimes and dominant characteristics of **a)** hourly inside versus outside  
609 cave air density difference **b)** Three hourly cave  $p\text{CO}_2$  and summer (April through October)  
610 and winter (December through March)  $p\text{CO}_2$  Entropy values (E) **c)** Three hourly  $^{222}\text{Rn}$  and **d)**

611 daily rainfall at Santa Cruz. November 7<sup>th</sup> earthquake indicated by the red dashed line and  
612 surrounding two-week period by the grey shaded section.

613

614 **Figure 4.** Hourly cave air density difference and cave pCO<sub>2</sub> over **a)** 7<sup>th</sup> – 16<sup>th</sup> December 2011  
615 (winter) and **b)** 20<sup>th</sup> – 29<sup>th</sup> May 2011 (summer).

616

617 **Figure 5.** Yok Balum long profile with schematic of theorised primary air flows and CO<sub>2</sub>  
618 flux during the summer at 12:00 (panel **a**) and 00:00 (panel **b**) and schematic of theorised  
619 primary air flows and CO<sub>2</sub> flux during the winter at 12:00 (panel **c**) and 00:00 (panel **d**).

620

621 **Figure 6.** 14-month time series of **a)** variability of three time series loggers expressed as  
622 entropy (cumulative sum of the absolute first differences) against time L(t) **b)** hourly  
623 temperature from three temperature loggers in the cave **c)** hourly inside and outside cave  
624 temperature **d)** three hourly cave air pCO<sub>2</sub>. **e)** Location of temperature loggers within the  
625 cave.

626

627 **Figure 7.** High-resolution summer experiment. 14-hour time series of **a)** hourly cave  
628 temperature, external air temperature and internal - external air density difference and **b)** 10  
629 second temperature measurements of TT5 and TT7. **c)** Location of TT5 and TT7 in the cave.

630

631 **Figure 8.** High-resolution winter experiment. 14-hour time series of **a)** hourly cave  
632 temperature, external air temperature and internal - external air density difference and **b)** 10

633 second temperature measurements of TT8, TT5 and TT7 **e)** location of TT8, TT5 and TT7 in  
634 the cave.

635

636 **Figure 9.** Response of **a)** cave air  $Rn^{222}$  **b)**  $CO_2$  **c)** inside vs outside cave air density  
637 difference and **d)** daily rainfall at Santa Cruz during a 15 day time period surrounding the  
638 November 7th earthquake (red dashed line).

639

640 **Figure 10. a)** 22-month time series of drip regimes of YOK-LD, YOK-SK and YOK-SD  
641 against Santa Cruz daily rainfall. November 7th earthquake indicated by red dashed line.  
642 Black arrows indicate visits to the cave. **b)** YOK-LD and YOK-SK drip rates and Santa Cruz  
643 daily rainfall from 1<sup>st</sup> through 15<sup>th</sup> November with earthquake indicated by red dashed line.

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645