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1 **Continental underplating after slab break-off**

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14

15 **Abstract**

16 We present three-dimensional numerical models to investigate the dynamics of continental
17 collision, and in particular what happens to the subducted continental lithosphere after oceanic
18 slab break-off. We find that in some scenarios the subducting continental lithosphere
19 underthrusts the overriding plate not immediately after it enters the trench, but after oceanic
20 slab break-off. In this case, the continental plate first subducts with a steep angle and then,
21 after the slab breaks off at depth, it rises back towards the surface and flattens below the
22 overriding plate, forming a thick horizontal layer of continental crust that extends for about
23 200 km beyond the suture. This type of behaviour depends on the width of the oceanic plate
24 marginal to the collision zone: wide oceanic margins promote continental underplating and
25 marginal back-arc basins; narrow margins do not show such underplating unless a far field
26 force is applied. Our models show that, as the subducted continental lithosphere rises, the
27 mantle wedge progressively migrates away from the suture and the continental crust heats up,
28 reaching temperatures $>900^{\circ}\text{C}$. This heating might lead to crustal melting, and resultant
29 magmatism. We observe a sharp peak in the overriding plate rock uplift right after the
30 occurrence of slab break-off. Afterwards, during underplating, the maximum rock uplift is
31 smaller, but the affected area is much wider (up to 350 km). These results can be used to
32 explain the dynamics that led to the present-day crustal configuration of the India-Eurasia
33 collision zone and its consequences for the regional tectonic and magmatic evolution.

34

35 **1. Introduction**

36 The dynamics of continental collision are complex due to the many forces acting in the
37 system at the same time and the many factors that can affect them. Different scenarios are
38 possible when a continent reaches the subduction zone trench. For instance, in the Apennines
39 and the Carpathians many studies suggested that delamination of the lithospheric mantle from

40 the continental crust occurred, leaving a thin layer of crust as the lithospheric mantle keeps
41 subducting (e.g. Bird, 1979; Cloos, 1993; Brun and Faccenna, 2008; Göğüş et al., 2011). In
42 other cases (e.g. Zagros, Himalayas) slab break-off occurs as a result of the high tensile
43 stresses caused by the oceanic slab pull at depth and the buoyant continental crust that resists
44 subduction at the surface (e.g. Davies and von Blanckenburg, 1995; Wong A Ton and Wortel,
45 1997; Replumaz et al., 2010). Seismic studies that focused more on the architecture of the
46 Himalayan area, however, showed that the Indian continental lithosphere lies sub-horizontally
47 underneath Eurasia for about 200 km north of the suture zone (Nábělek et al., 2009; Chen et
48 al., 2010). This configuration has also been suggested to be present in ancient orogenies (e.g.
49 the Slave Province in Canada (Helmstaedt, 2009); the Variscan orogeny in France (Averbuch
50 and Piromallo, 2012)). It is still unclear how the underthrusting of the subducting continental
51 lithosphere and slab break-off coexist in the same system. In particular, it is poorly known
52 how underthrusting evolves during continental collision, the dynamics of this process, and the
53 factors that control its occurrence.

54 The long-term history of the plates prior to collision is one of the key factors that can
55 affect the evolution of the continental collision itself. Old and cold continents, such as cratons,
56 are stronger than younger continents, which are usually characterized by a weak, “jelly
57 sandwich”-style ductile lower crust (Burov, 2011), and favours the decoupling between upper
58 crust and lithospheric mantle needed for delamination to occur (Bajolet et al., 2012; Magni et
59 al., 2013). Moreover, the dynamics of collision might also be affected by the interaction with
60 mantle convection caused by features like mantle plumes, or the formation of slab windows,
61 or the presence of other subduction zones nearby. This is, for instance, the case for the India-
62 Eurasia collision, in which the presence of an external force has been argued for to explain the
63 sustained convergence between the plates (Chemenda et al., 2000; Becker and Faccenna,
64 2011; Cande and Stegman, 2011).

65 In the last decade many 2D and 3D numerical and analogue experiments on slab break-off
66 allowed us to have a much better understanding of the break-off process and its consequences
67 on the evolution of topography, stress field and magmatism (Wong A Ton and Wortel, 1997;
68 Gerya et al., 2004; Duretz et al., 2011; van Hunen and Allen, 2011; Pusok and Kaus, 2015).
69 However, less work has been done in studying what happens to the subducted lithosphere
70 after slab break-off. Several numerical studies have found the process of exhumation to be
71 geodynamically plausible, where subducted continental lithosphere coherently exhumed
72 through the suture zone after slab detachment (Duretz et al., 2012; Bottrill et al., 2014). In this
73 study we use 3D numerical models of continental collision to investigate what controls the
74 occurrence of underplating, and discuss the possible applications of our model to the India-
75 Eurasia collision system.

76

77 **2. Methodology**

78 We investigate the dynamics of continental collision with 3D numerical models of
79 subduction using the finite element code CITCOM that solves the conservation of mass,
80 momentum, thermal energy, and composition in a Cartesian geometry (Moresi and Gurnis,
81 1996) (see Magni et al. (2012) and Table 1 for used values of default parameters). Our models
82 simulate the collision of a 2000 km wide continental block with a continental overriding plate
83 after an initial stage of oceanic subduction (Fig. 1). Oceanic lithosphere of variable width
84 flanks the continental block, to take into account the complexity of natural subduction
85 systems, where often oceanic and continental subduction happen simultaneously along the
86 trench, and to better understand how they interact with each other. We vary the width of the
87 oceanic margin and the density of the continental crust to investigate what controls the
88 occurrence of underplating and its dynamics. Moreover, we also run an additional model with
89 an imposed continuous convergence between the plates.

90 To reduce computational costs, we exploit the system's symmetry along the plane through
91 the centre of the continental block perpendicular to the trench (x-z plane). Therefore, in the y-
92 direction we model only half of the domain. The reference model (which has a computational
93 domain size of 3300 x 2180 x 660 km) has a 500-km wide oceanic part within the subducting
94 lithosphere (Fig. 1). Other model calculations have different oceanic plate widths (200 – 2000
95 km), and in those models the computational domain size in y-direction is adjusted accordingly
96 (1850 – 3960 km). The initial position of the trench is imposed (at x=1850 km), but during the
97 model evolution the trench is free to move in response to the system dynamics (Magni et al.,
98 2012). Subduction is facilitated by imposing an initial oceanic slab that extends to 200 km
99 depth. The initial temperature field for the oceanic lithosphere is calculated following a half-
100 space cooling solution for an 80-Myr old plate (Turcotte and Schubert, 2002). In the reference
101 model, the continental lithosphere is modelled with a 40-km thick layer of positively buoyant
102 crust ($\Delta\rho_c=500 \text{ kg/m}^3$ or $\rho_c=2.8 \text{ g/cm}^3$) and its temperature extends linearly from 0°C at the
103 surface to $T=T_m$ at 150 km depth. To allow possible mantle flow around the edge of the slab
104 and avoid artefacts due to the lateral boundary condition the computational domain is wider
105 than the subducting plate. This is modelled by imposing a transform fault with a 20 km wide
106 low viscosity zone at y=660 km. For simplicity, we assume the two plates to have the same
107 width.

108 Thermal boundary conditions are: $T=0^\circ\text{C}$ at the top, $T=T_m$ at the bottom and left boundary
109 (at x=0), and insulating conditions along the rest of the boundaries. Mechanical boundary
110 conditions are free-slip everywhere except the bottom boundary where a no slip-condition is
111 applied to model the effect of a viscosity contrast between upper and lower mantle (Fig. 1).
112 We run one model with an imposed velocity of 5 cm/yr at the surface between x=0 and x=30
113 km to investigate the effect of a far field push on the subducting plate on continental collision
114 dynamics.

115

116 2.1 Rheology

117 The viscosity of the system is temperature and stress dependent. The strain rate is
118 accommodated by both diffusion and dislocation creep (Hirth and Kohlstedt, 2003; Korenaga
119 and Karato, 2008). The effective viscosity η for each mechanism is defined as:

$$120 \quad \eta = A \dot{\epsilon}_{II}^{\frac{1-n}{n}} \exp\left(\frac{E^*}{nRT_{abs}}\right) \quad (1)$$

121 with symbols as defined in Table 1 and with $\dot{\epsilon}_{II}$, the second invariant of the strain rate
122 defined as:

$$123 \quad \dot{\epsilon}_{II} = \sqrt{\frac{1}{2} \dot{\epsilon}'_{ij}{}^2} \quad (2)$$

124 where

$$125 \quad \dot{\epsilon}'_{ij} = \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \quad (3)$$

126 In addition, a yield mechanism is implemented to reduce the strength of the lithosphere,
127 for which an effective viscosity is defined as

$$128 \quad \eta = \frac{\tau_y}{\dot{\epsilon}} \quad (4)$$

129 with τ_y is the yield stress described as

$$130 \quad \tau_y = \min(\tau_0 + \mu p_0, \tau_{max}) \quad (5)$$

131 where τ_{max} is the maximum yield stress and $\tau_0 + \mu p_0$ is Byerlee's law, with τ_0 is the yield
132 stress at the surface, μ is the friction coefficient, and p_0 is the lithostatic pressure. At any point
133 the effective viscosity is the minimum of viscosity values derived from each mechanism
134 described above. To account for other weakening mechanisms at low temperatures, we
135 impose a maximum viscosity of 10^{24} Pa s. The same rheology is assumed for mantle and
136 crustal material without any internal rheological layering in the lithosphere.

137 A narrow weak zone (with a viscosity $\eta=10^{20}$ Pa s) between the plates allows plate
138 decoupling (Magni et al., 2012). The weakening of the mantle wedge due to slab dehydration

139 and mantle re-hydration and melting is simulated by imposing an area above the slab with a
140 maximum viscosity of 10^{20} Pa s, which can get weaker if the computed effective viscosity is
141 lower.

142

143 **3. Results**

144 *3.1 Reference model*

145 We first investigate the ability of slab pull from the oceanic part of the subducting
146 lithosphere to drive underplating. The evolution of the reference model (Oc500, Fig. 2 and
147 animation A1 in Supplementary Material) can be divided into three main phases: continental
148 subduction (the onset of which defines initial collision), slab break-off, and underplating. In
149 the first phase, part of the continental block within the subducting plate is dragged down by
150 the oceanic part of the slab at depth. The subducted continental crust reaches depths >200 km
151 and, at this stage, has a dip of about 35° (Fig. 2a). After the onset of continental collision, the
152 subduction velocity decreases, because the low density of the continental crust opposes
153 subduction. Eventually, convergence completely stops, and the slab heats up and necks (Fig.
154 2b). Elsewhere along the trench, where the subducting plate is oceanic, subduction is still
155 active and the trench is retreating. This fast retreat produces high tensile stresses in the
156 overriding plate and results in the formation of a back-arc basin in the overriding plate. At
157 depth the slab is highly curved as only the oceanic part is retreating, whereas the continental
158 part is stationary or slowly advancing. About 35 Myr after the onset of continental collision,
159 the slab breaks off (Fig. 2c). At this point the positively buoyant continental crust is able to
160 ascend back towards the surface. However, it does not exhume through the suture zone (*i.e.*,
161 exhumation), but, instead, flattens underneath the overriding plate, forming a thick horizontal
162 layer of continental crust that extends for about 200 km beyond the original suture between
163 the two plates (Fig. 2d). We refer to this process as ‘underplating’.

164 Figure 3 shows the mantle flow immediately after slab break-off, when the oceanic slab at
165 the side of the continental block is still subducting. We observe two toroidal flow cells
166 triggered and controlled by the retreat of the oceanic slab (Fig. 3a). Far away from the
167 continent, the mantle flows around the slab edge, from behind to the front of the slab. In the
168 second toroidal flow cell, closer to the continent, the mantle flows from behind the oceanic
169 slab towards the continental part of the subducting plate, pushing the continental slab towards
170 the overriding plate. Simultaneously, since break-off has just happened, the subducted
171 continental lithosphere is rising towards the surface (Fig. 3b). The combination of these two
172 forces makes possible for the continental crust to underlay sub-horizontally the overriding
173 plate and, thus, for the underplating to occur.

174

175 *3.2 Controls on underplating*

176 We investigate what controls the occurrence of underplating after slab break-off with
177 additional models (Table 2). The first set of models aims at studying the effect of different
178 oceanic plate widths and external forces: model Oc200 has a 200 km wide oceanic side within
179 the subducting plate, narrower than the reference model, model Oc2000 has a much wider
180 oceanic side (2000 km), and a final model, Oc200v5, with a narrow oceanic side (200 km)
181 and a 5 cm/yr velocity imposed to the subducting plate. In addition, we test the feasibility of
182 underplating with different buoyancies of the subducting continental lithosphere: model
183 Oc500thin has a thinner continental crust (30 km) and lithosphere (100 km) compared to the
184 reference model, similar to the ‘unstretched continent’ used by Capitanio et al. (2010), and
185 model Oc500layered includes a 20 km-thick upper crust (with $\rho=2.8 \text{ g/cm}^3$) and a 20 km-
186 thick denser lower crust ($\rho=3.0 \text{ g/cm}^3$).

187 From the first set of models, we first describe results from models Oc200 and Oc2000 to
188 see what it is the effect of the width of the oceanic part of the subducting plate (and therefore

189 the amount of available slab pull) on the dynamics of the system after collision and slab
190 break-off. Then, we use model Oc200v5 to examine the role of an externally imposed force
191 on underplating.

192 In model Oc200 the narrow oceanic side retreats only a little, after collision occurred
193 nearby, and both the trench and the slab remain almost undeformed. In this case we do not
194 observe any underplating of the previously subducted continental crust. In fact, after break-
195 off, the continental lithosphere rises back, exhuming through the suture zone (Fig. 4a),
196 displaying the behaviour termed eduction (Andersen et al., 1991). On the other hand, the
197 evolution of model Oc2000, with a very wide oceanic part of the subducting plate, is very
198 similar to the reference model: a first phase of normal continental subduction is followed by
199 slab break-off and subsequent flattening of the continental lithosphere below the overriding
200 plate (Fig. 4b). Interestingly, in this model only the part of the oceanic plate that is close to the
201 continental indenter retreats, deforms, and triggers the opening of a back-arc basin. The rest
202 of the oceanic plate is almost stationary as subduction continues. The part of the oceanic plate
203 that does retreat is ~700 km wide, which is enough to trigger the same type of toroidal flow
204 observed in the reference model that pushes the subducted continental crust to flatten below
205 the overriding plate.

206 We also took the model Oc200, in which underplating does not happen, and we
207 performed the same model but with a 5-cm/yr velocity imposed at the left boundary to
208 simulate the push of a far field force on the subducting plate: model Oc200v5. As for model
209 Oc200, the oceanic side is too narrow to have significant trench retreat and slab deformation
210 and, thus, to be able to affect the dynamics of the rest of the system laterally. However, after
211 the slab breaks off, the continental lithosphere is unable to exhume through the suture zone
212 because of the continued plate convergence; the subducted continental lithosphere flattens
213 underneath the overriding plate (Fig. 4c).

214 Finally, we tested the effect of the continental plate buoyancy on the underplating
215 process. Model Oc500thin has a thinner continental lithosphere (100 km instead of 150 km of
216 the reference model) as well as a thinner continental crust (30 km instead of 40 km) (Fig. 5a).
217 Results are similar to the reference model, as also here we observe underplating occurring
218 after slab break-off. However, this process occurs faster than in Oc500. Because the
219 lithosphere is thinner, it takes less time for the slab to break-off (23 Myr after collision) and
220 the previously subducted continental lithosphere underlies sub-horizontally the upper plate
221 already 28 Myr after collision. We observe underplating even when the lower crust is slightly
222 denser, in Oc500layered (Fig. 5b). However, the part of the subducting continental plate that
223 underlies the overriding plate is less in this case, since the continental crust is on average
224 denser than in the reference model, and therefore it is more difficult for it to drive the
225 underplating. Some density contrast between the subducted continent and the surrounding
226 mantle seems necessary to drive rise and underplating after slab break-off, and indeed to
227 promote slab break-off in the first place (van Hunen and Allen, 2011).

228

229 *3.3 Consequences of underplating*

230 During the evolution of the reference model, from the ‘normal’ continental subduction
231 stage to the underplating, the thermal structure of the entire subduction zone undergoes
232 significant variations (Fig. 6). Indeed, the rising of the subducted continental crust and its
233 flattening underneath the overriding plate force the mantle wedge to progressively migrate
234 away from the trench by about 200 km over a period of ~35 Myr. We observe a slight
235 temperature decrease at the top of the rising continental crust close to the suture zone due to
236 the mantle wedge migration. At the same time, however, most of the subducted continental
237 crust becomes hotter during the break-off and underplating stages and the deepest part reaches
238 temperatures >900°C (Fig. 6b).

239 We estimate the rock uplift (as defined by England and Molnar, 1990) in the upper crust *a*
240 *posteriori* from the normal stresses at the top of the model by assuming the relationship
241 between rock uplift (H) and normal stress (σ_{zz}) is, to first order, $H = \sigma_{zz}/\rho g$, where ρ is the
242 reference density and g is the gravitational acceleration. This simple approach ignores effects
243 from elastic flexure, near surface tectonics, and erosion/sedimentation, but gives a useful first-
244 order indication of rock uplift. Whilst we do not specifically model elevation changes (i.e.
245 surface uplift) or exhumation rates, rock uplift would imply a rise in surface elevation unless
246 it was completely matched by exhumation. We observe a sharp peak in the overriding plate
247 rock uplift right after the occurrence of slab break-off (Fig. 6a). Afterwards, during
248 underplating, the maximum rock uplift is smaller, but the affected area is larger (up to 350
249 km).

250

251 **4. Discussion**

252 Our results show how the subducting continental lithosphere can rise up after slab break-
253 off and flatten below the overriding plate. Previous 3D numerical studies with a buoyant
254 indenter within an oceanic plate showed similar behaviours in terms of continental
255 subduction, trench migration and overriding plate deformation (e.g., Moresi et al., 2014,
256 Pusok and Kaus, 2015). Indeed, these models also show the partial subduction of the buoyant
257 indenter and, laterally, fast trench retreat of the oceanic plate, causing the overriding plate to
258 stretch enough to create a back-arc basin. During slab rollback, the mantle flows around the
259 edges of the oceanic slab, in a toroidal fashion, as previously showed in many 3D analogue
260 and numerical models (Funicello et al., 2006; Stegman et al., 2006). Moreover, the dynamics
261 of slab break-off in our models is similar to previous numerical studies (Wong A Ton and
262 Wortel, 1997; Duretz et al., 2011; van Hunen and Allen, 2011), with the necking and
263 consequent rupture of the slab due to the two opposite forces of the oceanic slab pull at depth

264 and the buoyancy of the continental material at shallower depths. The subsequent
265 underplating, however, is not a commonly observed behaviour and it has been documented, to
266 our knowledge, only in a few models (Li et al., 2013). This is due to the fact that this process
267 does not occur when the system is buoyancy-driven only and no lateral variations are present
268 along the trench. Instead, our results show that for the underplating to occur after break-off an
269 additional force is needed; this force could be provided by a far field push on the subducting
270 plate or by the mantle flow and slab deformation associated with the flanking oceanic
271 subduction.

272 A more often modelled behaviour in continental collision settings is the process of
273 eduction, in which after slab break-off, i.e. after the loss of slab pull, the subducted
274 continental plate coherently educts, leading to the exhumation of HP-UHP rocks along the
275 shear zone between the plates (Andersen et al., 1991; Duretz et al., 2012). In this study, we
276 observe the mechanism of eduction when there is no imposed velocity to the subducting slab
277 and the oceanic side adjacent to the continent is too narrow to affect laterally the dynamics of
278 the system (model Oc200, Fig. 4a). In the model with an imposed velocity to the subducting
279 plate (model Oc200v5, Fig. 4c) or in those with wide oceanic sides there is a force that
280 opposes eduction and makes underplating possible after the loss of slab pull due to slab break-
281 off (model Oc2000, Fig. 4b). In the first case, this force is simply the imposed continuous
282 push to the subducting plate. In the second case, this force is provided by the toroidal mantle
283 flow and by the internal deformation triggered by the retreat of the oceanic slab close to the
284 collision zone.

285 The buoyancy of the subducting plate is an important factor in this process. Indeed, what
286 drives the continental lithosphere to rise after the slab breaks off is its positive buoyancy.
287 Continents can have different structures (e.g. thickness, composition) and estimating the
288 precise density profile of the continental crust is not a trivial task, since geophysical and

289 petrological models do not give a unique answer and do not always agree. Our results show
290 that underplating after slab break-off can occur even when a higher density for the lower
291 continental crust is considered (model Oc500layered, Fig. 5b). For continents with even
292 higher density crust, it would be increasingly more difficult for underplating to occur.
293 However, the denser the continental crust, the easier it would be for it to subduct and, thus,
294 the more unlikely it is for break-off to happen. Although on-going subduction of continental
295 lithosphere has been suggested in some cases (Capitanio et al., 2010), slab break-off is
296 arguably a much more common behaviour.

297 One of the main consequences of this process is the significant change in the temperature
298 field of the subduction zone, which will have important effects on the presence and type of
299 magmatism related to it. In particular, the progressive migration of the mantle wedge away
300 from the suture zone would most likely result in a shift of volcanism as well. Furthermore, the
301 warming-up of the continental crust during its underplating might lead to crustal melting and
302 therefore affect the composition of the magmatism at the surface.

303 The precise timing of break-off (and subsequent underplating) depends on many factors
304 that we have not explicitly explored in this study such as the rheology, thickness and
305 composition of the plates (Duretz et al., 2011; van Hunen and Allen, 2011). Moreover, in our
306 models we assume the boundary between upper and lower mantle to be impermeable, whereas
307 in nature slabs can either stagnate at or penetrate the upper-lower mantle transition (e.g.,
308 Fukao and Obayashi, 2013). If the slab could sink into the lower mantle, its pull would most
309 likely be higher, and we expect slab break-off and the subsequent underplating process to
310 occur earlier with respect to the onset of continental collision. This is, however, beyond the
311 scope of this study and, although the timing of the process might change, the dynamics
312 probably would not.

313

314 *4.1 Application to India-Eurasia collision*

315 Numerical models are inherently a more generic and simplified version of reality. Unlike
316 other studies, our model setup is not designed to specifically reproduce the complex multi-
317 phased India-Eurasia collision scenario (e.g. Bouilhol et al., 2013). However, these models
318 can still be used to help us understand the dynamics and some aspects of this complex system.
319 Model Oc200v5, with the 200-km oceanic plate adjacent to a 2000 km continental block and
320 with an imposed velocity of 5 cm/yr, is the model that best represents the active collision of
321 the ~2000 km wide Indian continental block with the overriding Eurasian plate. The far field
322 force simulates the continuous on-going convergence between the plates of ~5 cm/yr (Copley
323 et al., 2011). We do not investigate the nature of this force, which has previously been
324 interpreted as ridge push, basal traction, pull by neighbouring subducted slabs, or push of a
325 plume associated “conveyor belt” in the mantle (Chemenda et al., 2000; Becker and
326 Faccenna, 2011; Cande and Stegman, 2011). The presence of narrow oceanic plates adjacent
327 to the Indian continental block is consistent with the tectonic reconstructions suggested for
328 this area (Hall, 2012).

329 In our model the underplating results in the formation of a thick horizontal layer of
330 continental lithosphere that extends for about 200 km beyond the suture. Such a feature is
331 present in Tibet, where geophysical studies imaged the rather flat Indian lithosphere as far as
332 250 km north of the main suture (e.g. Wittlinger et al., 2009; Chen et al., 2010) with an
333 overall geometry that corresponds to those obtained from the models.

334 As the Indian plate becomes sub-horizontal and underplates Eurasia, the asthenosphere
335 above the remaining slab progressively migrates away from the suture zone and eventually the
336 whole slab flattens below the Eurasian continental lithosphere (Powell, 1986). This process
337 should correspond to a change in magmatism within the Lhasa terrane, since mantle wedge
338 melting would be shut down. It has been shown that the source of magmatism observed

339 within the Lhasa terrane evolve during the course of collision, shifting during the late Eocene
340 from calc-alkaline - subduction dominated magmas to ultrapotassic lavas originating from a
341 metasomatic mantle (e.g. Chung et al. 2005). From the Oligocene, the presence of the
342 underthrust Indian lithosphere is documented by the presence in the magmatic rocks of the
343 southern Lhasa terrane of inherited zircon grains of Indian origin (Bouilhol et al., 2013). The
344 observed temperature increase of the underplated continental crust beyond typical solidus
345 temperatures fits the geochemistry of volcanism from the northern Tibetan Plateau, where a
346 contribution from continental crust is modelled to be present in the source region of the
347 Neogene-Quaternary volcanic rocks (Guo et al., 2006). Moreover, the end results of slab
348 break-off and underplating traps a non-negligible amount of the overriding plate mantle
349 lithosphere between the two plates, which is demonstrated to be involved in the source of
350 post-collisional magmas (e.g. Williams et al., 2004).

351 Early-mid Miocene, synchronous thrusting and extensional-sense shear in the Himalayas
352 are a distinct events in the evolution of the collision. Conceptual models requiring channel
353 flow (Grujic et al., 2002) need to explain the precise and rather restricted timeframe for this
354 tectonic phase. Middle Miocene to recent east-west extension across the belt and the southern
355 Tibetan Plateau relates to the high elevation and gravitational potential energy of this area
356 (Elliott et al., 2010). Conventional crustal thickening alone might produce a state whereby the
357 buoyancy force associated with the elevated crust resisted further shortening in the elevated
358 region, but it would not produce the observed extension unless a significant change in
359 boundary conditions took place (England et al., 1988).

360 Our modelled rock uplift, and consequent surface uplift, arising from slab break-off
361 echoes very well with both High Himalayan and Tibetan Plateau evolution in the late
362 Cenozoic. First, the early stages of slab break-off results in a high peak in rock uplift within
363 ~80 km north of the suture. This would perturb the Himalayan wedge, which responds by

364 extension on the South Tibetan Detachment System to restore the critical taper angle, between
365 ~23 and ~16 Ma (Kohn, 2014). After break-off, slab rebound and underplating further
366 propagate the uplift away from the suture, as far as ~350 km in our models. Since ~15 Ma,
367 rise of the southern Tibetan Plateau has resulted from slab flattening, and triggered east-west
368 extension (Copley et al., 2011; Styron et al., 2015). To explain the growth of the entire
369 plateau, which is ~1000 km across, from north to south, other mechanisms have to be taken
370 into account. For instance, recent numerical studies showed how pre-existing heterogeneities
371 within the overriding plate, especially in terms of rheology, play an important role in building
372 the orogenic plateau (Pusok and Kaus, 2015; Chen and Gerya, 2016). Our models do not
373 include such complexities as in this study we focus on the effect of the underplating process
374 on the tectonics. Overall, oceanic slab break-off and the subsequent underthrusting of the
375 Indian continental plate beneath Eurasia help explain the late Cenozoic changes in tectonics in
376 the Himalayan-Tibetan system.

377

378 **5. Conclusions**

379 We performed 3D numerical models to study the dynamics of continental collision and,
380 more specifically, the underplating of the subducting continental plate beneath the overriding
381 plate. We find that the previously subducted continental lithosphere can rise back towards the
382 surface and flatten below the overriding plate after slab break-off, forming a thick horizontal
383 layer of continental crust that extends for about 200 km beyond the suture. Our results show
384 that this process can occur only if there is a force that opposes erosion. Mechanisms that can
385 provide this force could be, for instance, a far-field push on the subducting plate or the
386 toroidal mantle flow triggered by the retreat of the oceanic slab nearby collision, if the
387 oceanic plate is wide enough.

388 Our models show that as the subducted continental lithosphere flattens below the
389 overriding plate the mantle wedge progressively migrates away from the suture and its crust
390 heats up and can reach temperatures $>900^{\circ}\text{C}$, which might lead to crustal melting. A signature
391 of this heating would most likely show in the occurrence and composition of syn-collision
392 volcanism. Immediately after slab break-off there is a marked contribution to rock uplift close
393 to the suture. Afterwards, the maximum uplift effect is reduced, but affects a wider area in the
394 overriding plate.

395 These results can be used to explain not only the present-day crustal configuration of the
396 India-Eurasia collision zone, but also the northward shift of volcanism and the changing
397 distribution and style of deformation in the Himalayas and Tibetan Plateau since $\sim 20\text{-}15\text{ Ma}$.

398

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407 computational resource allocation (Notur NN9283K and NorStore NS9029K).

408

409 **Figure captions**

410 *Figure 1.* Initial setup and boundary conditions of the reference model. (a) The width of the
411 oceanic side is 500 km in the reference model, but is varied in the other models: 200 or 2000
412 km and accordingly (b) the width of the domain is varied: 1850 or 3960 km.

413

414 *Figure 2.* Evolution of the reference model with a first phase of continental subduction (a),
415 followed by slab necking (b) and break-off (c), and, finally, the continental lithosphere rises
416 back towards the surface and flattens below the overriding plate (underplating) (d). The first
417 column shows the temperature field and the position of the continental crust (white contour)
418 in a vertical section along the symmetry axis. The middle and left columns are the front and
419 top view, respectively: a $T=1080^{\circ}\text{C}$ isosurface representing the lithosphere is shown in blue
420 and the continental crust is shown in grey. Green arrows show the velocity field at the surface.
421 The red thick line in the right column represents the trench. The orange line indicates where
422 the vertical section showed in the left column is taken. Time is after the onset of collision.

423

424 *Figure 3.* Mantle flow of the reference model. (a) mantle flow in a horizontal section at a
425 depth of 200 km. Colours show the x-component of the velocity (positive values are towards
426 the overriding right); the black line shows where the slab is. (b) Temperature field and mantle
427 flow of a vertical section at the symmetry axis. White arrows represent the general trend of
428 the mantle flow that triggered by the retreat of the oceanic slab pushes the rising continental
429 crust below the overriding plate.

430

431 *Figure 4.* Results of the model (a) Oc200, with narrow oceanic sides (200 km), where
432 eduction, thus no underplating, occurs after slab break-off. The process of underplating occurs
433 in both models (b) Oc2000, with wide oceanic sides (2000 km) and (c) Oc200v5, with narrow
434 oceanic side and an imposed velocity of 5 cm/yr on the subducting plate. The small grey
435 triangle indicates the original suture location. See Fig. 2 caption for details on the colour
436 legend.

437

438 *Figure 5.* Results of the model (a) Oc500thin, with a thin continental crust (30 km) and
439 lithosphere (100 km) and (b) model Oc500layered with a 20 km-thick upper crust (with $\rho=2.8$
440 g/cm^3) and a 20 km-thick denser lower crust ($\rho=3.0 \text{ g/cm}^3$). Both models show the occurrence
441 of underplating after slab break-off. See Fig. 2 caption for details on the colour legend.

442

443

444 *Figure 6.* Evolution of the rock uplift and continental crust position (left column) and
445 temperature changes within the continental crust (right column) during the 3 main phases of
446 the reference model: continental subduction (light blue), slab break-off (orange), with a peak
447 in the surface uplift close to the suture and temperature increase in the subducted continental
448 crust, and underplating (red) in which the uplift is less but extends over a wider area and the
449 crust reaches temperatures $>900^\circ\text{C}$ at depth. The small grey triangle indicates the original
450 suture location. Times are Myr after initial continental collision.

451

452 *Animation A1.* Evolution of the reference model Oc500. Top row: side (on the left) and top
453 (on the right) view of the model evolution. The blue contour is the $T=1080^\circ\text{C}$ isosurface
454 representing the lithosphere. The grey contour shows the continental crust material. Bottom
455 row: temperature field and continental crust material (white contour) in the y-section at the
456 symmetry axis of the model domain.

457

458

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586

588 *Table 1. Symbols, units and default model parameters*

Parameters	Symbols	Value and Unit
Rheological pre-exponent	A	6.52×10^6 [Pa ⁻ⁿ s ⁻¹]
Activation Energy	E^*	360 [kJ/mol]
Gravitational acceleration	g	9.8 [m/s ²]
Rheological power law exponent	n	1(diff. c.), 3.5(disl. c.) [-]
Lithostatic pressure	p_0	[Pa]
Gas constant	R	8.3 [J/K/mol]
Absolute temperature	T_{abs}	[K]
Reference temperature	T_m	1350 [°C]
Compositional density contrast	$\Delta\rho_c$	500 (300) [kg/m ³]
Strain rate	$\dot{\epsilon}_{ij}$	[s ⁻¹]
Second invariant of the strain rate	$\dot{\epsilon}_{II}$	[s ⁻¹]
Effective viscosity	η	[Pa s]
Reference viscosity	η_m	10^{20} [Pa s]
Maximum lithosphere viscosity	η_{max}	10^{24} [Pa s]
Friction coefficient	μ	0.1 [-]
Reference density	ρ	3300 [kg/m ³]
Yield stress	τ_y	[MPa]
Surface yield stress	τ_0	40 [MPa]
Maximum yield stress	τ_{max}	400 [MPa]
Model Geometry		
Domain depth	h	660 [km]
Domain length	l	3300 [km]
Domain width	w	1848 (2180 – 3690) [km]
Mesh resolution		from 8x8x8 to 20x20x20 [km ³]
Continental block half-width	-	1000 [km]
Oceanic side width	-	200 (500 – 2000) [km]
Continental crust thickness	Hc	40 (30) [km]

591 *Table 2. Models parameters and results.*

Model	Oceanic side width (km)	Imposed velocity (cm/yr)	Cont. crust thickness (km)	Cont. plate thickness (km)	Cont. crust density (g/cm³)	Underplating after slab break-off
Oc500	500	-	40	150	2.8	Yes
Oc200	200	-	40	150	2.8	No
Oc2000	2000	-	40	150	2.8	Yes
Oc200v5	200	5	40	150	2.8	Yes
Oc500thin	500	-	30	100	2.8	Yes
Oc500layered	500	-	40 (20 uc, 20 lc)*	150	2.8 uc, 3.0 lc*	Yes

592 *uc: upper continental crust, lc: lower continental crust

Figure 1

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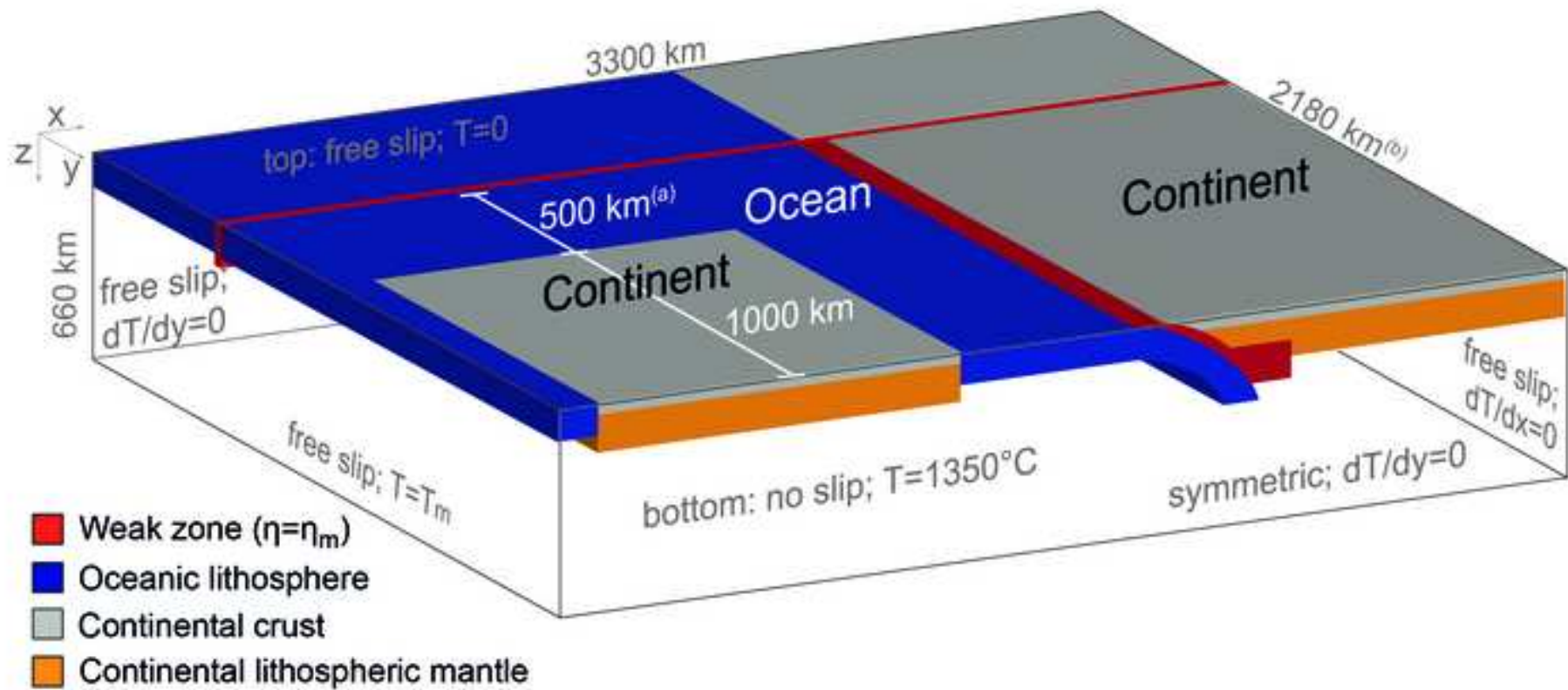


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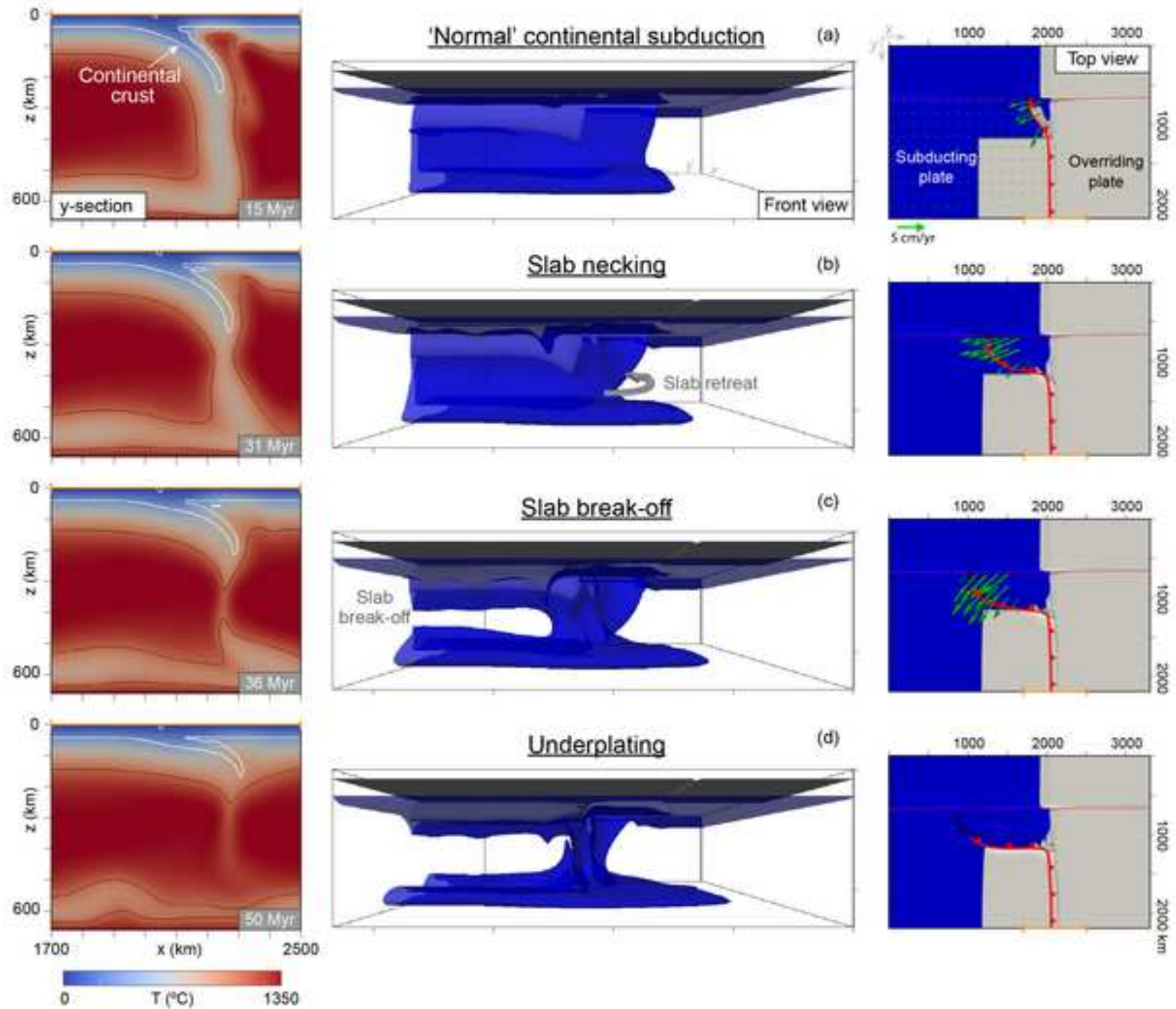


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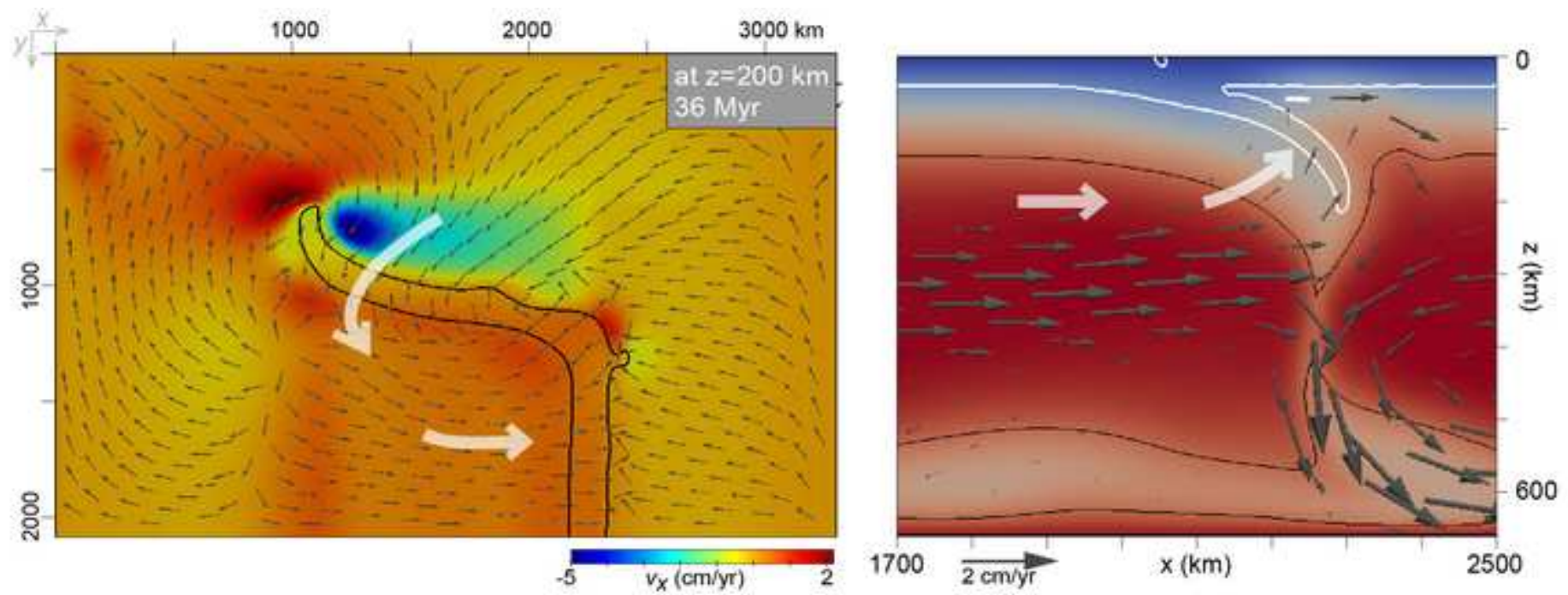


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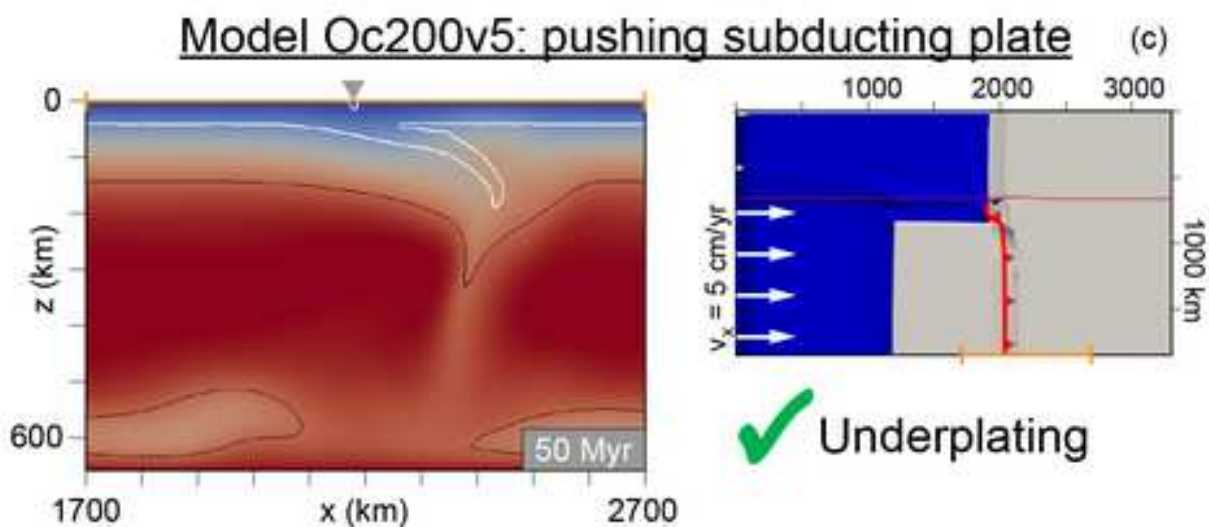
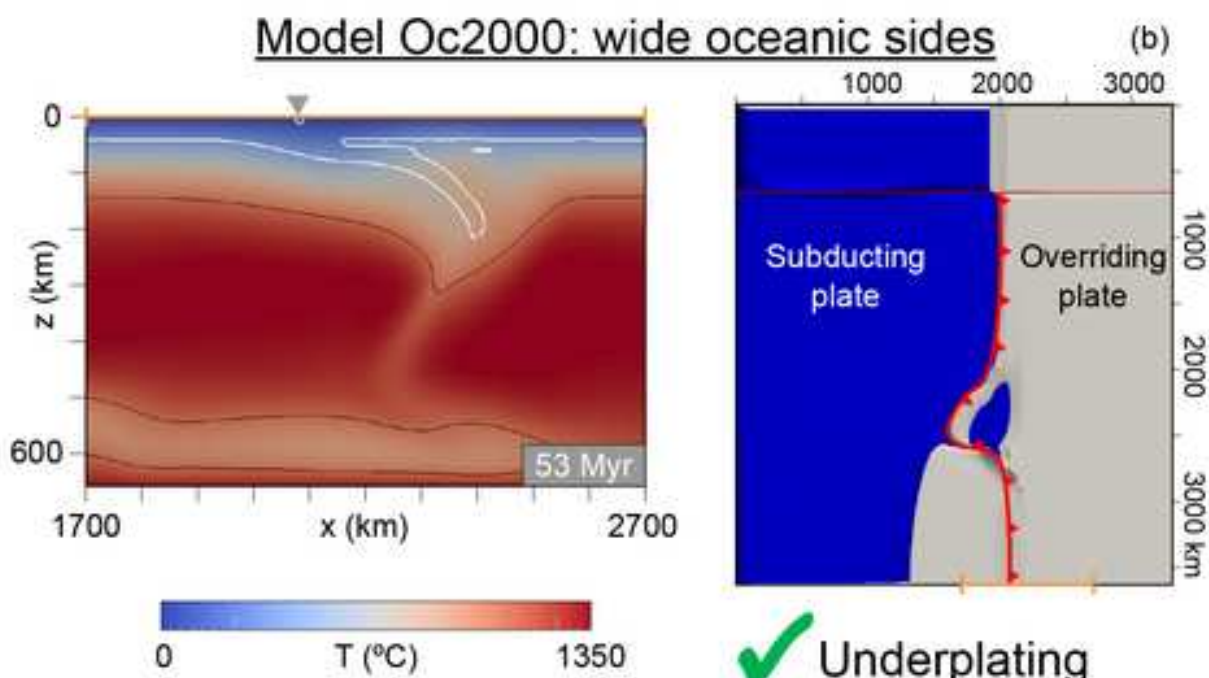
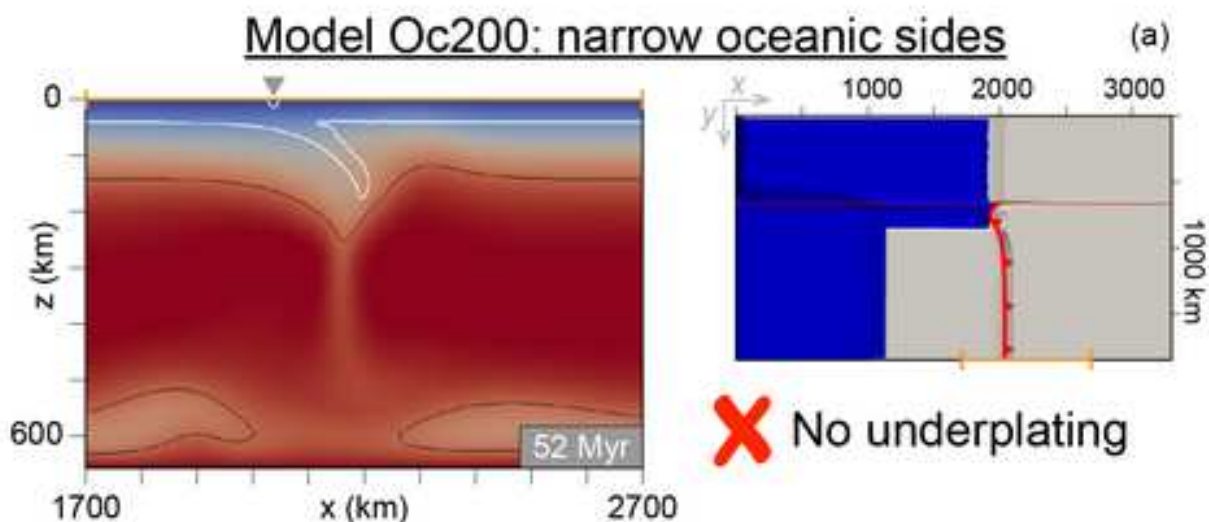


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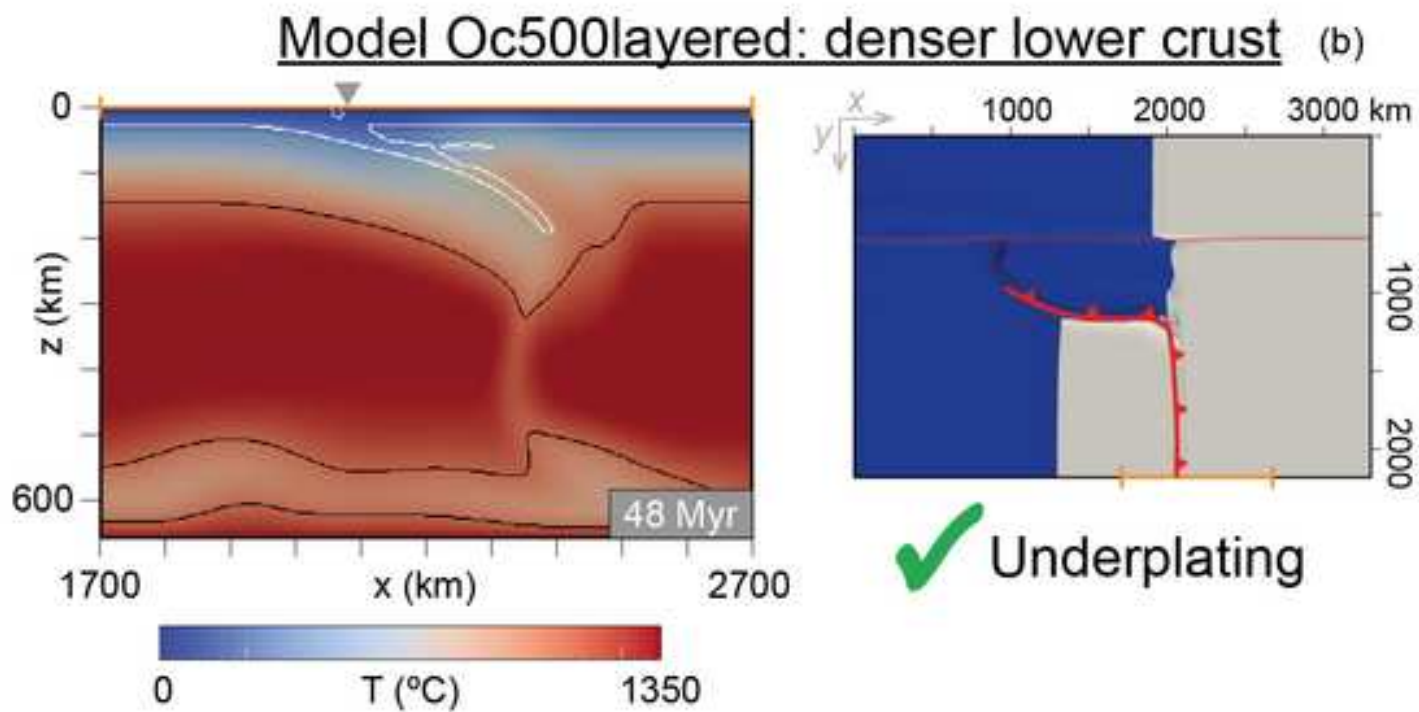
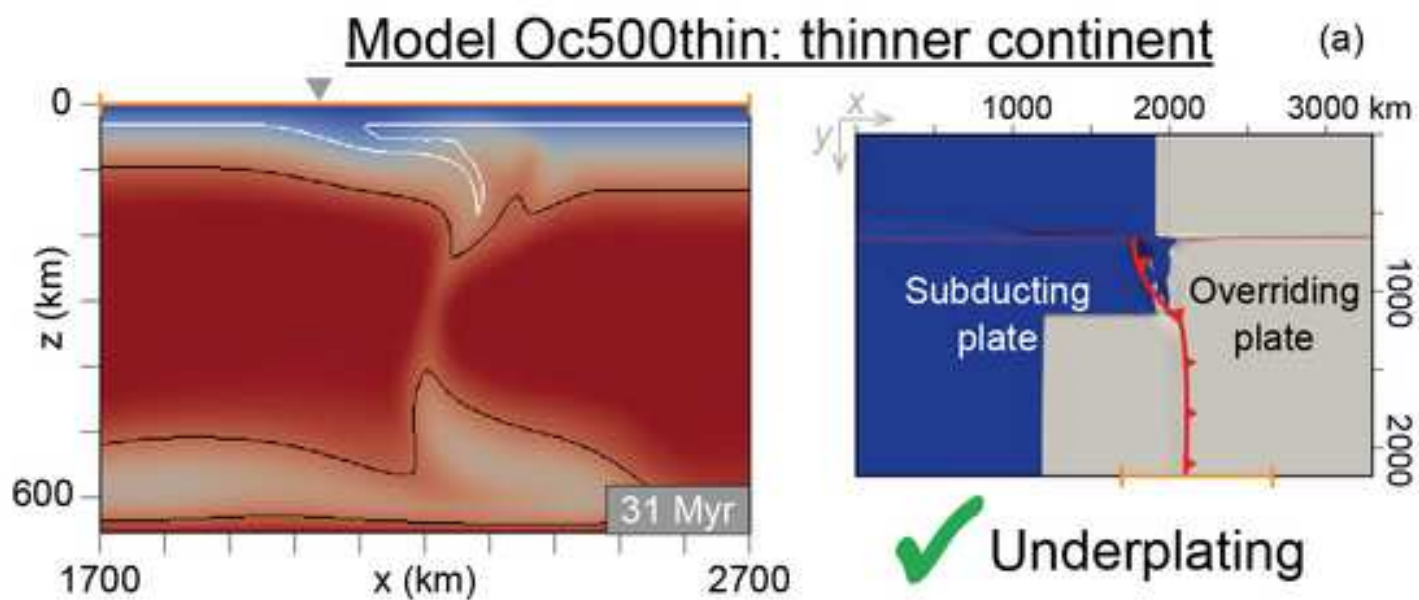
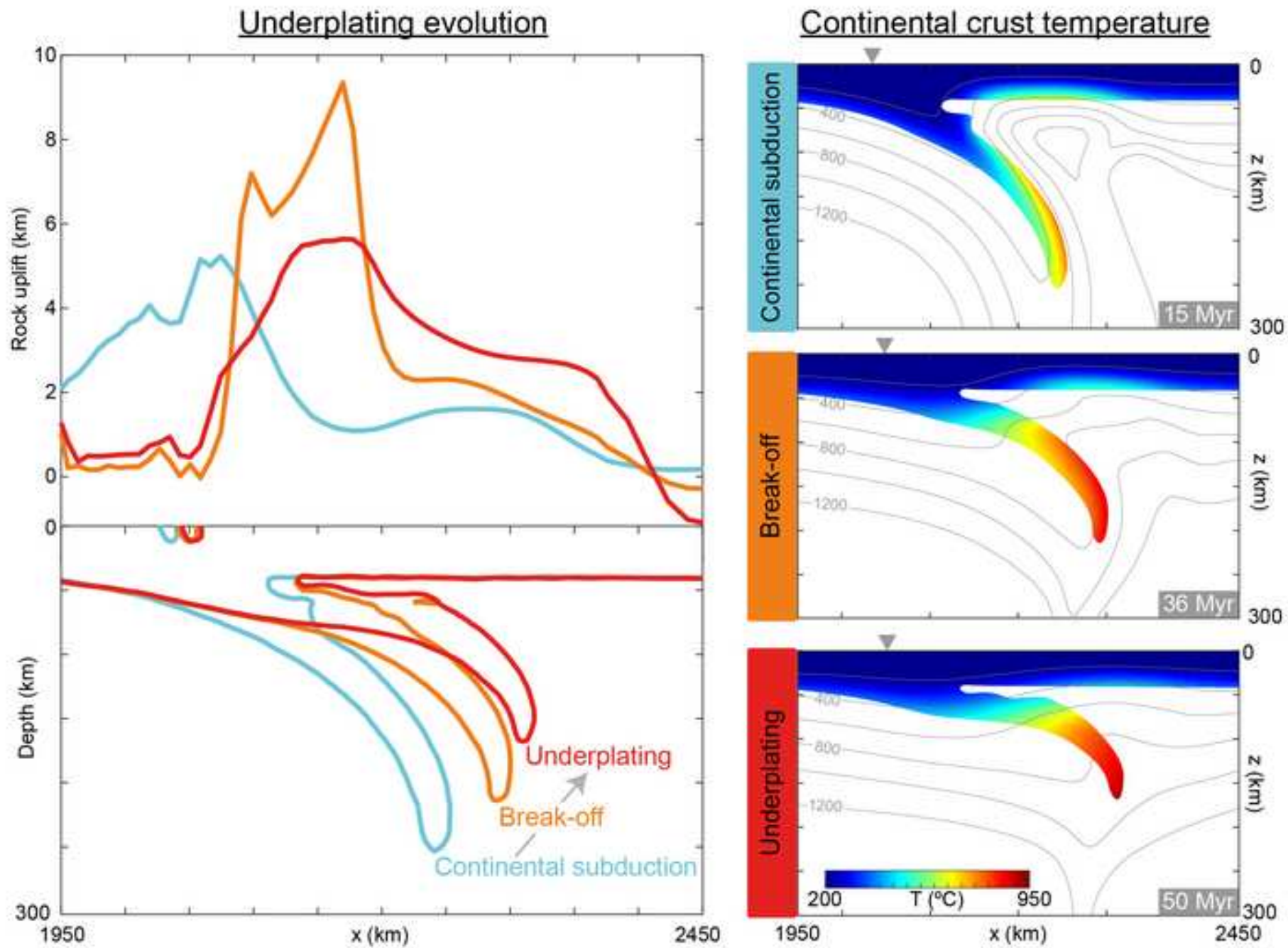


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Supplementary material (video) - A1

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